

# Cerebral Hemodynamic Changes During Intensive Care of Preterm Infants

Catherine Limperopoulos, PhD<sup>a,b</sup>, Kimberlee K. Gauvreau, ScD<sup>c</sup>, Heather O'Leary, BSc<sup>b</sup>, Marianne Moore, BA, RN<sup>b</sup>, Haim Bassan, MD<sup>b</sup>, Eric C. Eichenwald, MD<sup>d</sup>, Janet S. Soul, MD<sup>b</sup>, Steven A. Ringer, MD, PhD<sup>d</sup>, Donald N. Di Salvo, MD<sup>e</sup>, Adré J. du Plessis, MBChB, MPH<sup>b</sup>

<sup>a</sup>Department of Neurology and Neurosurgery and School of Physical and Occupational Therapy, McGill University, Montreal, Quebec, Canada; <sup>b</sup>Fetal-Neonatal Neurology Research Group, Department of Neurology, and Departments of <sup>c</sup>Pediatrics and <sup>d</sup>Radiology, Children's Hospital Boston and Harvard Medical School, Boston, Massachusetts; <sup>e</sup>Department of Newborn Medicine, Brigham and Women's Hospital and Harvard Medical School, Boston, Massachusetts

The authors have indicated they have no financial relationships relevant to this article to disclose.

## What's Known on This Subject

Preterm infants have immature hemodynamic regulation. Certain clinical events may be associated with major hemodynamic disturbances that predispose to brain injury.

## What This Study Adds

By using a large sample, we demonstrated major systemic and cerebral circulatory disturbances across a range of event types matched to baseline quiet periods. Circulatory changes were associated with cranial ultrasound parenchymal abnormality and inversely related to maturation and illness severity.

## ABSTRACT

**OBJECTIVES.** The objectives of this study were to examine the circulatory changes experienced by the immature systemic and cerebral circulations during routine events in the critical care of preterm infants and to identify clinical factors that are associated with greater hemodynamic-oxygenation changes during these events.

**METHODS.** We studied 82 infants who weighed <1500 g at birth and required intensive care management and continuous blood pressure monitoring from an umbilical arterial catheter. Continuous recording of cerebral and systemic hemodynamic and oxygenation changes was performed. We studied 6 distinct types of caregiving events during 10-minute epochs: (1) quiet baseline periods; (2) minor manipulation; (3) diaper changes; (4) endotracheal tube suctioning; (5) endotracheal tube repositioning; and (6) complex events. Each event was matched with a preceding baseline. We examined the effect of specific clinical factors and cranial ultrasound abnormalities on the systemic and cerebral hemodynamic oxygenation changes that were associated with the various event types.

**RESULTS.** There were highly significant differences in hemodynamics and oxygenation between events overall and baseline epochs. The magnitude of these circulatory changes was greatest during endotracheal tube repositioning and complex caregiving events. Lower gestational age, higher illness severity, chorioamnionitis, low Apgar scores, and need for pressor-inotropes all were associated with circulatory changes of significantly lower magnitude. Cerebral hemodynamic changes were associated with early parenchymal ultrasound abnormalities.

**CONCLUSIONS.** Routine caregiving procedures in critically ill preterm infants are associated with major circulatory fluctuations that are clinically underappreciated and underdetected by current bedside monitoring. Our data underscore the importance of continuous cerebral hemodynamic monitoring in critically ill preterm infants. *Pediatrics* 2008;122:e1006–e1013

[www.pediatrics.org/cgi/doi/10.1542/peds.2008-0768](http://www.pediatrics.org/cgi/doi/10.1542/peds.2008-0768)

doi:10.1542/peds.2008-0768

### Key Words

prematurity, cerebral hemodynamics, systemic hemodynamics, brain injury

### Abbreviations

MAP—mean arterial blood pressure  
NIRS—near-infrared spectroscopy  
HbO<sub>2</sub>—oxyhemoglobin  
Hb—deoxyhemoglobin  
Sao<sub>2</sub>—oxyhemoglobin saturation  
ET—endotracheal tube  
HbD—hemoglobin difference signal  
HbT—total hemoglobin  
SNAP-II—Score for Neonatal Acute Physiology II  
GM-IVH—germinal matrix–intraventricular hemorrhage

Accepted for publication Jul 21, 2008

Address correspondence to Adré J. du Plessis, MBChB, MPH, Children's Hospital, Department of Neurology, Fegan 11, 300 Longwood Ave, Boston MA 02115. E-mail: [adre.duplessis@childrens.harvard.edu](mailto:adre.duplessis@childrens.harvard.edu)

PEDIATRICS (ISSN Numbers: Print, 0031-4005; Online, 1098-4275). Copyright © 2008 by the American Academy of Pediatrics

**S**YSTEMIC AND CEREBRAL circulatory regulation is underdeveloped in the preterm infant.<sup>1–7</sup> Compared with the fetus of equivalent gestational age, the preterm infant experiences significantly greater variety and intensity of sensory stimulation. The impact of these experiences on the immature, particularly autonomic, nervous system remains poorly understood. Given the immature and inefficient cardiovascular regulation in the preterm infant and the known risk for cerebrovascular injury during this critical period of brain development, we urgently need to advance our understanding of the circulatory responses of preterm infants to potentially disturbing events during neonatal critical care, a need made more urgent as recent studies have demonstrated impaired structural and functional brain development in survivors of preterm birth.<sup>8–18</sup>

Our central hypothesis was that clinical events that occur during the critical care of these infants would be associated with significant hemodynamic oxygenation changes. Our goals were twofold. First, we sought to describe

changes in the immature systemic and cerebral circulations during routine events in critical care of the preterm infant. Second, we set out to identify clinical factors that are associated with greater hemodynamic oxygenation changes during these caregiving events.

## METHODS

### Selection Criteria

Infants who weighed <1500 g at birth and required intensive care management and continuous blood pressure monitoring from an umbilical arterial catheter were prospectively recruited for the study. We excluded infants with known congenital syndromes and those with evidence of antenatal brain injury by cranial ultrasound. The research was approved by the institutional review board at the Brigham and Women's Hospital. Informed written consent was obtained in all cases.

### Study Design

#### *Continuous Recording of Cerebral and Systemic Hemodynamic and Oxygenation Changes*

Continuous recording of cerebral and systemic hemodynamic and oxygenation changes was performed in a manner previously described.<sup>2</sup> We recorded continuous mean arterial blood pressure (MAP) from the umbilical arterial catheter and time-locked these data with simultaneous continuous near-infrared spectroscopy (NIRS) recordings of changes in cerebral oxyhemoglobin (HbO<sub>2</sub>) and deoxyhemoglobin (Hb) concentration using a NIRO-500 spectrophotometer (Hamamatsu Photonics, Hamamatsu City, Japan).<sup>2,19</sup> In addition, we measured continuous HbO<sub>2</sub> saturation (Sao<sub>2</sub>) changes from a preductal site by using a pulse oximeter (Masimo, Irvine, CA). The MAP and Sao<sub>2</sub> were collected from the infant's bedside monitor through analog inputs in the NIRO-500 spectrophotometer. Recordings were continuous for up to 12 hours/day for the first 5 days after birth or until the umbilical arterial catheter was discontinued for clinical reasons. No catheter was placed or kept in place for research reasons alone. We used a sampling rate of 2 Hz, converted to digital format and stored in a laptop computer.

#### *Identification of Event and Matched Baseline Data Sets*

A study investigator was continuously present at the bedside and documented the precise timing of all events that occurred during the infant's care. We were thus able to define accurately 6 distinct types of 10-minute epochs: (1) quiet baseline periods; (2) minor manipulation; (3) diaper changes; (4) endotracheal tube (ET) suctioning; (5) endotracheal tube repositioning; and (6) complex events.

The bedside investigator identified periods during which the infants were inactive and quietly resting without spontaneous movements and without stimulation by caregivers. We selected 10-minute segments of such baseline data during the period before each event. Minor manipulation by caregivers (eg, auscultation) involved tactile stimulation with or without elicited infant move-

ments but without significant repositioning of the infant. Diaper changes were performed by gently elevating the legs and buttocks, replacing the diaper, and then lowering the legs. The position of the thorax did not change relative to the blood pressure transducer, which was aligned at an atrial level. ET suctioning in our NICU is performed by a consistent protocol, in which brief preoxygenation is followed by in-line, closed suctioning. Closed suctioning reduces ET movement and tracheal stimulation and provides a continuous fraction of inspired oxygen and positive pressure that in part compensates for the decrease in airway pressure caused by suctioning. By using predetermined measurements, care is taken to advance the suction catheter only as far as the end of the ET to prevent direct tracheal trauma. ET repositioning is achieved by stabilizing the infant's head and neck while gently lifting the adhesive tape used to attach the ET to the infant's skin. The tube is then repositioned and retaped. When 2 or more of the events occurred during a 10-minute period, the epoch was designated a complex event.

#### *Artifact Identification and Exclusion*

Because the caregiving events studied here are not only periods of increased risk for true circulatory changes but also for artifactual signal changes, we performed a particularly rigorous series of checks to exclude artifact from our analyses. First, the bedside investigator was skilled at identifying potential sources of artifact that originated from the bedside recording devices and closely monitored recording devices during interventions. Any recordings during which the quality of the data could not be ensured were excluded from the outset. We performed a second level of artifact detection and rejection off-line, by identifying and rejecting obvious artifact by visual inspection (Fig 1) and then ran all data through a computer program with preset physiologically plausible limits for each signal.<sup>2</sup> Specifically, we used the following criteria for automated artifact detection and rejection. Hemoglobin difference signal (HbD) and total hemoglobin (HbT) were calculated from the raw data recordings of HbO<sub>2</sub> and Hb. We rejected from the raw database any periods when HbO<sub>2</sub> and/or Hb changed between 2 adjacent data points (0.5 seconds) by >10 μmol/L or >7 SD of the mean HbO<sub>2</sub> (or Hb) for that data epoch. Similarly, we rejected data for which MAP changed between 2 adjacent data points by >15 mm Hg. For the Sao<sub>2</sub>, visual inspection was used because these artifacts are easily identifiable (Fig 1).

#### *Data Processing and Analysis*

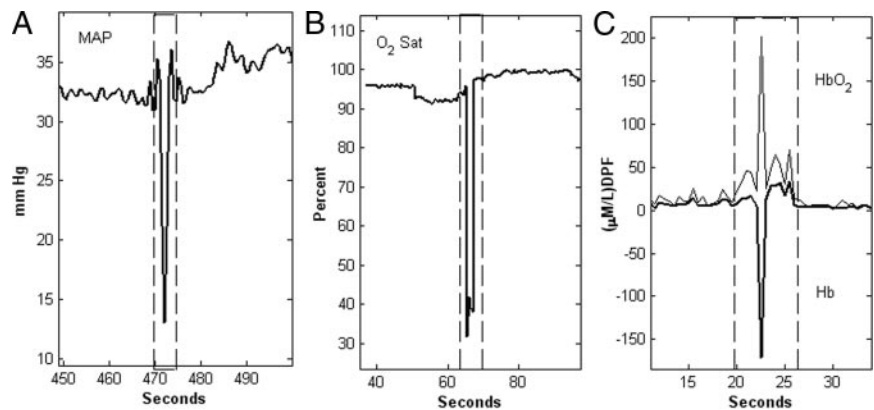
We analyzed only 10-minute event epochs with at least 80% (ie, 8 minutes) of artifact-free data points and with a matched preceding baseline epoch of data. This matching process allowed us to compare the hemodynamic changes not only across patients but also within patients and within a narrow postnatal age.

#### *Systemic Hemodynamic and Oxygenation Variables*

Systemic hemodynamic (MAP) and oxygenation (Sao<sub>2</sub>) variables were calculated in 2 ways. Ten-minute

FIGURE 1

Examples of signal artifact identified by visual inspection and rejected during the initial phase of data screening. Artifacts shown are in the mean arterial pressure signal (A), the pulse oximetry signal (B), and the NIRS signals (C) for cerebral concentration changes in HbO<sub>2</sub> and Hb. DPF indicates the differential pathlength factor.



mean (and SD) of SaO<sub>2</sub> ( $\mu$ SaO<sub>2</sub>) and MAP ( $\mu$ MAP) was calculated for each epoch. Maximum change in SaO<sub>2</sub> ( $\Delta$ SaO<sub>2</sub>) and MAP ( $\Delta$ MAP) was derived from the maximum minus minimum value of SaO<sub>2</sub> and MAP within the 10-minute epoch.

#### Cerebral Hemodynamic and Oxygenation Variables

We calculated changes in the HbD (HbD = HbO<sub>2</sub> – Hb), previously shown to be highly correlated with changes in cerebral blood flow in animal models,<sup>20,21</sup> and changes in total hemoglobin (HbT = HbO<sub>2</sub> + Hb) concentration, a reflection of changes in cerebral blood volume. The cerebral circulatory measures were expressed only as  $\Delta$ HbD and  $\Delta$ HbT within 10-minute epochs. Mean values of HbD and HbT were not derived; the NIRS technique used in this study does not measure the absolute cerebral concentration of HbO<sub>2</sub> and Hb but rather measures absolute change in concentration from an arbitrary 0 point. We then compared the measurements between each event and its preceding baseline epoch, calculating event minus baseline measures of  $\mu$ MAP,  $\Delta$ MAP,  $\mu$ SaO<sub>2</sub>,  $\Delta$ SaO<sub>2</sub>,  $\Delta$ HbT, and  $\Delta$ HbD.

#### Clinical Data Collection

We examined the effect of specific clinical factors with potential hemodynamic impact on systemic and cerebral circulatory changes that were associated with various event types. We abstracted from the medical charts pertinent data regarding the maternal, pregnancy, labor/delivery, and neonatal histories (Table 1). Chorioamnionitis was recorded when documented in the clinical record by the treating obstetrician or in placental pathology records. Neonatal clinical sepsis was diagnosed by positive blood, urine, or mucosal culture or by clinical suspicion that resulted in a full course of antibiotic treatment despite negative cultures. We also calculated the Score for Neonatal Acute Physiology II (SNAP-II).<sup>22</sup>

#### Cranial Ultrasound Data

We were interested in whether the presence of brain injury might influence the circulatory measurements recorded in this study, although this factor was not a primary outcome. Stable preterm infants in our NICU undergo cranial ultrasound studies (Acuson Sequoia [Siemens, Malvern, PA]) at approximately 3, 7, and 30 days of life; however, in sick preterm infants, such as

TABLE 1 Maternal, Intrapartum, Neonatal, and Postnatal Characteristics (N = 82)

Characteristic	Data
Preterm labor, n (%)	61 (74)
Maternal steroids, n (%)	74 (90)
Pregnancy-induced hypertension, n (%)	9 (11)
Acute intrapartum hemorrhage, n (%)	13 (16)
Maternal temperature >100.4, n (%)	9 (11)
Cesarean section, n (%)	53 (65)
Chorioamnionitis, n (%)	33 (40)
Gestational age, median (range), wk	26 (23–30)
Birth weight, median (range), g	863 (460–1490)
Male gender, n (percent)	46 (56)
Apgar score at 5 min, median (range)	7 (0–9)
SNAP-II score, median (range)	24 (0–56)
Pressor-inotrope support, n (%)	64 (78)
Patent ductus arteriosus, n (%)	15 (18)
Clinical sepsis, n (%)	23 (28)
Days on mechanical ventilation, median (range)	29 (0–130)
Days on oxygen, median (range)	59 (3–134)
Death, n (%)	4 (5)
IVH grade I–III, n (%)	27 (33)
Parenchymal abnormalities, n (%)	14 (17)
Overall (IVH and/or parenchymal abnormalities), n (%)	30 (37)

those in this study, the timing of cranial ultrasound studies was dictated primarily by clinical indications and was therefore not consistent. Because cerebral hemorrhagic and major hypoxic-ischemic injuries that were present during our circulatory studies would be detected by cranial ultrasound studies that were performed between 5 and 10 days, we included only ultrasound studies that were performed during this period. Cranial ultrasound abnormalities were categorized as germinal matrix–intraventricular hemorrhage (GM-IVH; any grade), parenchymal abnormalities (cerebral and/or cerebellar echodensities), or overall ultrasound abnormality (GM-IVH or parenchymal abnormality, or both).

#### Statistical Analysis

Changes in hemodynamic and oxygenation measures from baseline to event epochs were evaluated using the paired *t* test. Generalized estimating equations models were used to examine univariate associations between these measures and patient clinical factors and ultra-

**TABLE 2** Effect of Clinical Events on Systemic and Cerebral Hemodynamics and Oxygenation

Parameter	$\mu$ MAP, Mean (SD)	$\Delta$ MAP, Mean (SD)	$\mu$ SaO <sub>2</sub> , Mean (SD)	$\Delta$ SaO <sub>2</sub> , Mean (SD)	$\Delta$ HbD, Mean (SD)	$\Delta$ HbT, Mean (SD)
Overall events ( <i>n</i> = 480)	38.7 (5.9)	18.1 (7.2)	93.2 (3.6)	13.9 (10.1)	15.4 (8.2)	23.3 (14.7)
Overall baselines ( <i>n</i> = 480)	37.6 (5.3)	10.7 (4.6)	94.5 (3.0)	7.8 (8.5)	8.4 (3.9)	13.9 (7.1)
<i>P</i>	<.001	<.001	<.001	<.001	<.001	<.001
Diaper change						
Event ( <i>n</i> = 80)	38.6 (5.6)	17.6 (6.4)	93.6 (3.9)	13.4 (8.6)	13.9 (6.4)	22.9 (15.3)
Baseline ( <i>n</i> = 80)	37.5 (5.2)	10.6 (4.0)	95.0 (3.2)	7.4 (8.9)	7.9 (3.6)	13.0 (6.9)
<i>P</i>	.017	<.001	.062	<.001	<.001	<.001
ET repositioning						
Event ( <i>n</i> = 13)	40.5 (5.7)	23.3 (8.4)	92.0 (3.5)	15.8 (7.4)	23.9 (10.7)	44.4 (28.3)
Baseline ( <i>n</i> = 13)	37.4 (3.6)	10.7 (4.4)	93.7 (4.3)	6.4 (5.9)	8.9 (5.2)	14.4 (7.5)
<i>P</i>	<.001	<.001	.810	.015	<.001	.002
ET suctioning						
Event ( <i>n</i> = 96)	37.3 (5.4)	17.9 (7.7)	93.1 (3.0)	15.4 (9.4)	16.7 (8.6)	22.6 (12.1)
Baseline ( <i>n</i> = 96)	36.4 (4.7)	9.9 (4.2)	94.5 (2.8)	6.9 (6.8)	7.7 (3.4)	13.0 (6.5)
<i>P</i>	.054	<.001	<.001	<.001	<.001	<.001
Minimal manipulation						
Event ( <i>n</i> = 138)	38.4 (6.0)	16.1 (6.5)	93.6 (3.5)	12.2 (9.2)	13.4 (6.9)	21.9 (14.3)
Baseline ( <i>n</i> = 138)	38.0 (5.6)	10.9 (4.6)	94.3 (3.1)	8.2 (7.9)	9.1 (4.2)	15.1 (8.0)
<i>P</i>	.003	<.001	<.001	.001	<.001	<.001
Complex Event						
Event ( <i>n</i> = 48)	39.1 (6.3)	21.4 (8.0)	92.5 (4.1)	18.0 (13.7)	17.0 (9.8)	26.5 (16.5)
Baseline ( <i>n</i> = 48)	36.5 (5.2)	10.5 (4.7)	95.1 (2.4)	7.3 (9.5)	7.0 (3.3)	11.7 (6.1)
<i>P</i>	<.001	<.001	<.001	<.001	<.001	<.001

*P* values indicate the statistical significance of the difference between the matched event-baseline epochs.

sound abnormalities; these models account for the correlation among multiple events within the same patient. Regression coefficients ( $\beta$ ) and *P* values are reported. The regression coefficient estimates the change in outcome variable (hemodynamic or oxygenation measure) that is associated with the presence of a dichotomous clinical factor (eg, birth by cesarean section, pressor support) or with a specified increase in a continuous factor (eg, 1-week increase in gestational age, 100-g increase in birth weight). Generalized estimating equations models were also used for multivariate analyses of each outcome; explanatory variables in the models were birth weight, cesarean section, chorioamnionitis, SNAP-II score, pressor support, patent ductus arteriosus, and clinical sepsis.

## RESULTS

### Population Characteristics

We enrolled 82 infants at a median gestational age of 26 weeks (range: 23–30 weeks) and median birth weight of 863 g (range: 460–1490 g). The mean age at onset of recordings was 11.5 hours, with 50 (61%) starting within 12 hours and all but 2 starting within 24 hours of birth (Table 1).

### Circulatory Changes Associated With Clinical Events and Matched Baselines

We analyzed 480 artifact-free events that could be matched with preceding 10-minute baseline epochs of quiet rest. The median number of events per subject was 6 (range 1–17). Table 2 shows circulatory measures for all event epochs and all baseline epochs, as well as for the 5 specific event categories. There were highly signif-

icant differences in hemodynamics and oxygenation between events overall and baseline epochs (*P* < .001 for all). For the large majority of event types, circulatory measures were significantly different from those in the matched baseline epochs.

### Relationship Between Events and Early Cranial Ultrasound Abnormalities

Early IVH (grades I–III) was diagnosed in 27 (33%) preterm infants, whereas 14 (17%) had parenchymal abnormalities. Overall ultrasound abnormality (IVH and/or parenchymal abnormalities) was present in 30 (37%). Early IVH was significantly related to changes in mean SaO<sub>2</sub> ( $\beta$  = 0.86; *P* = .01) for events overall. Early parenchymal ultrasound abnormalities were associated with significant changes in HbD ( $\beta$  = 16.1; *P* < .001) and HbT ( $\beta$  = 22.9; *P* = .05) and with greater changes in SaO<sub>2</sub> ( $\beta$  = 5.7; *P* = .01). Similarly, overall ultrasound abnormality (IVH and/or parenchymal abnormality) on early ultrasound studies was associated with significantly greater differences in mean SaO<sub>2</sub> across all events ( $\beta$  = 1.0; *P* = .002), as well as with SaO<sub>2</sub> change during ET manipulation ( $\beta$  = 5.7; *P* = .01; Table 3).

### Relationship Between Clinical Factors and the Hemodynamic Oxygenation Changes With Specific Event Types

We first performed univariate analyses to examine the association between clinical factors and the hemodynamic measure during each event type. Table 4 shows those relationships that achieved statistical significance on univariate analysis. Next we performed a multivariate analysis by entering only factors for which the uni-



**TABLE 3 Relationship (P Value) Between Events, Circulatory Measures, and Clinical Factors (Univariate Analysis)**

Parameter	ΔMAP	ΔSao <sub>2</sub>	ΔHbD	ΔHbT
Overall events				
Birth weight	0.64 (.004)			0.94 (.020)
GA	0.63 (.020)	1.10 (.030)		
SNAP	-0.12 (<.001)	-0.30 (<.001)	-0.01 (.004)	
CA		-4.70 (.007)		
eIVH		0.86 (.01)		
eParen		5.70 (.010)	16.10 (<.001)	22.90 (.050)
eOverall		1.00 (.002)		
Minor events				
PDA			-2.50 (.030)	-4.60 (.010)
Diaper change				
Birth weight		1.08 (.050)		
GA		2.10 (<.001)		1.40 (.040)
SNAP		-0.27 (.010)		
PDA			2.60 (.030)	
CS				5.00 (.030)
Apg				-7.20 (.010)
ET suction				
GA	1.40 (.010)	1.50 (.040)		
SNAP		-0.29 (<.001)	-0.11 (.010)	
Apg				-6.80 (.040)
ET Reposition				
GA		4.90 (<.001)		
PRES		12.90 (<.001)		-35.80 (<.001)
eOverall		5.70 (.010)		
CA			-10.90 (.030)	
SNAP			-0.50 (.001)	
PDA			-9.60 (.002)	
Complex events				
CA		-12.80 (.006)	-2.30 (.005)	
SNAP			-0.73 (<.001)	
PRES			-8.00 (.010)	
PDA			-7.30 (.040)	
GA				3.40 (.020)

GA indicates gestational age; CA, chorioamnionitis; eIVH, intraventricular hemorrhage on early ultrasound; eParen, parenchymal abnormality on early ultrasound; eOverall, intraventricular hemorrhage and/or parenchymal abnormality on early ultrasound; PDA, patent ductus arteriosus; CS, cesarean section; Apg, Apgar score at 5 minutes; PRES, pressor-inotrope support.

ivariate analysis *P* value was ≤ .1. Here we report only the clinical factors that remained significant at *P* < .05 in the multivariate analysis.

### Multivariate Analyses

Multivariate analyses demonstrated that chorioamnionitis, birth weight, SNAP-II scores, and overall abnormality on early ultrasound (IVH and/or parenchymal abnormality) were independent predictors of systemic and cerebral hemodynamic disturbances for overall events compared with baselines. The diagnosis of chorioamnionitis was inversely related to changes in Sao<sub>2</sub> ( $\beta = -3.3$ , *P* = .01). Greater changes in Sao<sub>2</sub> were related to overall abnormality on early ultrasound. Higher birth weight was significantly associated with greater changes in MAP ( $\beta = 0.46$ , *P* = .04), HbD ( $\beta = 0.41$ , *P* = .03), and HbT ( $\beta = 0.86$ , *P* = .03). Higher SNAP-II scores were inversely related to changes in MAP ( $\beta = -1.1$ , *P* = .002), Sao<sub>2</sub> ( $\beta = -2.8$ , *P* < .001), and HbD ( $\beta = -.79$ , *P* = .02).

During minor manipulations, infants with higher

SNAP-II scores had significantly smaller changes in HbD ( $\beta = -1.01$ , *P* = .01). Conversely, during diaper changes, higher SNAP-II scores predicted significantly greater changes in HbD ( $\beta = 1.15$ , *P* = .002), and higher birth weight was associated with greater HbT changes ( $\beta = 1.21$ , *P* = .02). During ET suctioning, infants with higher SNAP-II scores had smaller differences in MAP ( $\beta = -1.60$ , *P* = .008) and HbD changes ( $\beta = -1.26$ , *P* = .009). During complex events, chorioamnionitis ( $\beta = -6.6$ , *P* = .04) and higher SNAP-II scores ( $\beta = -6.41$ , *P* = .005) were associated with differences in Sao<sub>2</sub> changes; pressor support was inversely related to change in MAP ( $\beta = -5.2$ , *P* = .003) and SNAP-II score. ET repositioning events were excluded from this part of the analysis because of the relatively small number of such events.

### DISCUSSION

We describe striking circulatory changes during routine interventions in the critical care of preterm infants. Circulatory changes to the clinical events in general were significantly greater than those that occurred during matched baseline periods of quiet rest. The magnitude of these circulatory responses was significantly lower among the most immature and sickest infants. These circulatory changes differed across the various clinical event categories but were most striking during ET repositioning and complex caregiving events. Cerebral circulatory changes were associated with early parenchymal ultrasound abnormalities.

The impact of the studied events on cerebral circulation is best appreciated when considering that total cerebral hemoglobin concentration during the first days after preterm birth is ~65  $\mu\text{M/L}^{23}$ ; therefore, cerebral hemoglobin varies by ~20% even during baseline restful periods, and even minor manipulations cause fluctuations of >30% in total cerebral hemoglobin. The association between these changes in cerebral Hbo<sub>2</sub> and HbT and the presence of parenchymal ultrasound abnormalities is particularly concerning given that cranial ultrasound detects only major parenchymal lesions.<sup>24</sup> During ET repositioning, these fluctuations in cerebral Hbo<sub>2</sub> and total HbT are threefold greater than those in the matched baselines and represent an ~65% change in expected total cerebral hemoglobin.

Potential mechanisms underlying the circulatory changes in the preterm infants described in this study are multiple, complex, and poorly understood. A general protective response to stimulation may trigger systemic hemodynamic responses through activation of the sympathetic nervous system. Both global and regional coupling mechanisms between neural activation and blood flow are known to be active in preterm infants.<sup>25–32</sup> Repositioning of the ET was associated with the greatest perturbations in both the systemic and cerebral circulations in our study. In previous studies, ET suctioning was associated with a transient decrease in heart rate,<sup>31–35</sup> likely a parasympathetic reflex<sup>32,36,37</sup> to unloading of pulmonary pressure receptors. Sympathetic activation<sup>32,35,38–40</sup> by transient hypoxemia and direct tactile stimulation of tracheal sympathetic receptors triggers systemic arterial hypertension.<sup>35,38–40</sup> A tran-

sient increase in intracranial pressure previously described<sup>32,35,40–42</sup> may be related to an overwhelmed cerebral pressure autoregulation with a significant increase in cerebral blood volume, as suggested by the striking increase in total cerebral hemoglobin noted in our study.<sup>35,43</sup> Techniques such as preoxygenation<sup>44</sup> and closed suctioning may reduce the sympathetic activation; both techniques are used at our center, where we are careful to avoid direct tracheal stimulation with the suction catheter during ET suctioning; however, during repositioning of the ET, some degree of tracheal stimulation is inevitable and likely underlies the marked circulatory responses. These practices may explain the significant difference in circulatory fluctuation between ET suctioning and ET repositioning in our study.

We describe for the first time important systemic and cerebral circulatory changes during diaper changes. It is interesting that similar maneuvers are used to test autonomic cardiac reflexes in mature individuals.<sup>45</sup> The potential mechanisms underlying these changes include abrupt increases in venous return and cardiac preload with elevation of the lower extremities, which together with a potential Valsalva-type effect on the diaphragm may alter cerebral perfusion pressure through effects on both arterial and venous compartments.

Our data also demonstrate that maturation and illness severity were significant modifiers of event-related circulatory fluctuations. Specifically, lower gestational age, higher SNAP-II scores,<sup>22</sup> chorioamnionitis, low Apgar scores, and pressor-inotrope treatment all were associated with circulatory changes of significantly lower magnitude. Our findings likely reflect a critical immaturity of the autonomic nervous system in sick preterm infants.<sup>46</sup> Recent studies showed reduced physiologic responses to noxious stimuli in preterm infants,<sup>47–50</sup> including reduced autonomic (especially sympathetic) activity and central behavioral responses.<sup>51</sup> Recent cerebral NIRS studies demonstrated cortical responses to noxious stimuli in infants as young as 25 weeks' gestation,<sup>28,52</sup> which decreased significantly with lower gestational age. Gibbons et al<sup>49,50</sup> showed that extremely low gestational age and severity of illness were associated with a reduced response to noxious stimuli. Infection-inflammation has potentially profound effects on systemic and cerebral hemodynamics in the fetus,<sup>53</sup> preterm infant,<sup>54</sup> and adult.<sup>55</sup> Antenatal steroid exposure has been associated with improved postnatal BP control,<sup>56,57</sup> possibly mediated by increased postnatal sympathetic activity.<sup>58–60</sup> In our study, antenatal steroid exposure had no significant association with event-related circulatory changes in the postnatal period.

In this study, fluctuations in cerebral oxygenation and blood volume were associated with early parenchymal abnormalities. Such fluctuations might suggest failure of intrinsic cerebral vasoregulation, a known risk factor for brain injury in the high-risk infant; however, we used clinically indicated cranial ultrasound studies that were not performed at consistent, closely spaced intervals. Furthermore, preceding brain insults may disrupt cerebral autoregulation. For these reasons, we are unable to examine the cause-and-effect relationship be-

tween the circulatory changes and cranial ultrasound findings. These observations warrant additional investigation into the causal nature of the relationship.

Our study had several particular strengths, including the large number of patients and measurement epochs and the matching of each event with a preceding quiet baseline period. In addition, we used high sampling rates of invasively measured blood pressure and continuous cerebral measures using NIRS. The data were subjected to a stringent, multitiered process of artifact detection and rejection. However, there are also several limitations to this study. By confining our blood pressure measures to direct intra-arterial measurements, we could study infants only when indwelling catheters were in place. Because we rigorously identified and excluded data with suspected artifact and events without a preceding quiet baseline period, we were unable to assess the association between the cumulative effect of event-related circulatory changes during the early days of life and findings on cranial ultrasound. Furthermore, as described already, our ultrasound studies have limitations in the consistency and frequency of their timing. Finally, the acute illness severity in our population, as indicated by the need for continuous blood pressure monitoring, high SNAP-II scores, and a high prevalence of ultrasound abnormalities, may preclude direct translation of these data to less acutely ill preterm infants.

## CONCLUSIONS

Our findings demonstrate that routine caregiving procedures in critically ill preterm infants are associated with major circulatory fluctuations that are clinically underappreciated. These findings emphasize the need for more advanced continuous bedside monitoring, particularly of the newborn brain. Additional studies that combine acute and intensive circulatory measurements, such as those used here, with advanced neuroimaging and comprehensive neurodevelopmental outcomes are needed to develop rational brain-oriented caregiving practices that minimize brain injury in this fragile population.

## ACKNOWLEDGMENTS

This work was supported by National Institutes of Health grant P01NS38475, the LifeBridge Fund, the Caroline Levine Foundation, and the Trust Family Foundation. Dr Limperopoulos is supported by the Canadian Research Chairs Program.

We thank Shaye Moore for assistance with manuscript preparation and the patients and families for participation in this study.

## REFERENCES

1. Tsuji M, Saul JP, du Plessis A, et al. Cerebral intravascular oxygenation correlates with mean arterial pressure in critically ill premature infants. *Pediatrics*. 2000;106(4):625–632
2. Soul JS, Hammer PE, Tsuji M, et al. Fluctuating pressure-passivity is common in the cerebral circulation of sick premature infants. *Pediatr Res*. 2007;61(4):467–473
3. Mazursky JE, Birkett CL, Bedell KA, Ben-Haim SA, Segar JL. Development of baroreflex influences on heart rate variability in preterm infants. *Early Hum Dev*. 1998;53(1):37–52

4. Lou HC. Autoregulation of cerebral blood flow and brain lesions in newborn infants. *Lancet*. 1998;352(9138):1406
5. Rassi D, Mishin A, Zhuravlev YE, Matthes J. Time domain correlation analysis of heart rate variability in preterm neonates. *Early Hum Dev*. 2005;81(4):341–350
6. Andriessen P, Oetomo SB, Peters C, Vermeulen B, Wijn PF, Blanco CE. Baroreceptor reflex sensitivity in human neonates: the effect of postmenstrual age. *J Physiol*. 2005;568(Pt 1):333–341
7. Drouin E, Gournay V, Calamel J, Mouzard A, Roze JC. Assessment of spontaneous baroreflex sensitivity in neonates. *Arch Dis Child Fetal Neonatal Ed*. 1997;76(2):F108–F112
8. Hüppi PS, Murphy B, Maier SE, et al. Microstructural brain development after perinatal cerebral white matter injury assessed by diffusion tensor magnetic resonance imaging. *Pediatrics*. 2001;107(3):455–460
9. Thompson DK, Warfield SK, Carlin JB, et al. Perinatal risk factors altering regional brain structure in the preterm infant. *Brain*. 2007;130(Pt 3):667–677
10. Woodward LJ, Anderson PJ, Austin NC, Howard K, Inder TE. Neonatal MRI to predict neurodevelopmental outcomes in preterm infants. *N Engl J Med*. 2006;355(7):685–694
11. Srinivasan L, Dutta R, Counsell SJ, et al. Quantification of deep gray matter in preterm infants at term-equivalent age using manual volumetry of 3-tesla magnetic resonance images. *Pediatrics*. 2007;119(4):759–765
12. Inder TE, Warfield SK, Wang H, Hüppi PS, Volpe JJ. Abnormal cerebral structure is present at term in premature infants. *Pediatrics*. 2005;115(2):286–294
13. Limperopoulos C, Soul JS, Gauvreau K, et al. Late gestation cerebellar growth is rapid and impeded by premature birth. *Pediatrics*. 2005;115(3):688–695
14. Limperopoulos C, Soul JS, Haidar H, et al. Impaired trophic interactions between the cerebellum and the cerebrum among preterm infants. *Pediatrics*. 2005;116(4):844–850
15. Dahl LB, Kaarensen PI, Tunby J, Handegard BH, Kvernmo S, Ronning JA. Emotional, behavioral, social, and academic outcomes in adolescents born with very low birth weight. *Pediatrics*. 2006;118(2). Available at: [www.pediatrics.org/cgi/content/full/118/2/e449](http://www.pediatrics.org/cgi/content/full/118/2/e449)
16. Mikkola K, Ritari N, Tommiska V, et al. Neurodevelopmental outcome at 5 years of age of a national cohort of extremely low birth weight infants who were born in 1996–1997. *Pediatrics*. 2005;116(6):1391–1400
17. Tommiska V, Heinonen K, Lehtonen L, et al. No improvement in outcome of nationwide extremely low birth weight infant populations between 1996–1997 and 1999–2000. *Pediatrics*. 2007;119(1):29–36
18. Als H, Duffy FH, McAnulty GB, et al. Early experience alters brain function and structure. *Pediatrics*. 2004;113(4):846–957
19. Soul JS, du Plessis AJ. New technologies in pediatric neurology: near-infrared spectroscopy. *Semin Pediatr Neurol*. 1999;6(2):101–110
20. Soul JS, du Plessis AJ, Walter GL, Taylor GA, Volpe JJ. Near-infrared spectroscopy monitoring detects changes in cerebral blood flow in an animal model of acute hydrocephalus [abstract]. *Ann Neurol*. 1998;44:535
21. Soul JS, Taylor GA, Wypij D, du Plessis AJ, Volpe JJ. Noninvasive detection of changes in cerebral blood flow by near-infrared spectroscopy in a piglet model of hydrocephalus. *Pediatr Res*. 2000;48(4):445–449
22. Richardson DK, Corcoran JD, Escobar GJ, Lee SK. SNAP-II and SNAPPE-II: simplified newborn illness severity and mortality risk scores. *J Pediatr*. 2001;138(1):92–100
23. Wyatt JS, Cope M, Delpy DT, et al. Quantitation of cerebral blood volume in human infants by near-infrared spectroscopy. *J Appl Physiol*. 1990;68(3):1086–1091
24. Anderson NG, Warfield SK, Wells S, et al. A limited range of measures of 2-D ultrasound correlate with 3-D MRI cerebral volumes in the premature infant at term. *Ultrasound Med Biol*. 2004;30(1):11–18
25. Slater R, Boyd S, Meek J, Fitzgerald M. Cortical pain responses in the infant brain. *Pain*. 2006;123(3):332, author reply 332–334
26. Morison SJ, Grunau RE, Oberlander TF, Whitfield MF. Relations between behavioral and cardiac autonomic reactivity to acute pain in preterm neonates. *Clin J Pain*. 2001;17(4):350–358
27. Oberlander T, Saul JP. Methodological considerations for the use of heart rate variability as a measure of pain reactivity in vulnerable infants. *Clin Perinatol*. 2002;29(3):427–443
28. Bartocci M, Bergqvist LL, Lagercrantz H, Anand KJ. Pain activates cortical areas in the preterm newborn brain. *Pain*. 2006;122(1–2):109–117
29. Sakatani K, Chen S, Lichty W, Zuo H, Wang YP. Cerebral blood oxygenation changes induced by auditory stimulation in newborn infants measured by near infrared spectroscopy. *Early Hum Dev*. 1999;55(3):229–236
30. Meek JH, Firbank M, Elwell CE, Atkinson J, Braddick O, Wyatt JS. Regional hemodynamic responses to visual stimulation in awake infants. *Pediatr Res*. 1998;43(6):840–843
31. Mosca F, Colnaghi M, Lattanzio M, Bray M, Pugliese S, Fumagalli M. Closed versus open endotracheal suctioning in preterm infants: effects on cerebral oxygenation and blood volume. *Biol Neonate*. 1997;72(1):9–14
32. Segar JL, Merrill DC, Chapleau MW, Robillard JE. Hemodynamic changes during endotracheal suctioning are mediated by increased autonomic activity. *Pediatr Res*. 1993;33(6):649–652
33. Simbruner G, Coradello H, Fodor M, Havelec L, Lubec G, Pollak A. Effect of tracheal suction on oxygenation, circulation, and lung mechanics in newborn infants. *Arch Dis Child*. 1981;56(5):326–330
34. Danford DA, Miske S, Headley J, Nelson RM. Effects of routine care procedures on transcutaneous oxygen in neonates: a quantitative approach. *Arch Dis Child*. 1983;58(1):20–23
35. Shah AR, Kurth CD, Gwiazdowski SG, Chance B, Delivoria-Papadopoulos M. Fluctuations in cerebral oxygenation and blood volume during endotracheal suctioning in premature infants. *J Pediatr*. 1992;120(5):769–774
36. Daly MB, Korner PI, Angell-James JE, Oliver JR. Cardiovascular-respiratory reflex interactions between carotid bodies and upper-airways receptors in the monkey. *Am J Physiol*. 1978;234(3):H293–H299
37. Angell-James JE, Daly MD. The effects of artificial lung inflation on reflexly induced bradycardia associated with apnoea in the dog. *J Physiol*. 1978;274:349–366
38. Shiomi T, Guilleminault C, Sasanabe R, Hirota I, Maekawa M, Kobayashi T. Augmented very low frequency component of heart rate variability during obstructive sleep apnea. *Sleep*. 1996;19(5):370–377
39. Somers VK, Mark AL, Abboud FM. Interaction of baroreceptor and chemoreceptor reflex control of sympathetic nerve activity in normal humans. *J Clin Invest*. 1991;87(6):1953–1957
40. Durand M, Sangha B, Cabal LA, Hoppenbrouwers T, Hodgman JE. Cardiopulmonary and intracranial pressure changes related to endotracheal suctioning in preterm infants. *Crit Care Med*. 1989;17(6):506–510
41. Perlman JM, Volpe JJ. Suctioning in the preterm infant: effects on cerebral blood flow velocity, intracranial pressure, and arterial blood pressure. *Pediatrics*. 1983;72(3):329–334
42. Fanconi S, Duc G. Intratracheal suctioning in sick preterm infants: prevention of intracranial hypertension and cerebral hypoperfusion by muscle paralysis. *Pediatrics*. 1987;79(4):538–543

43. Skov L, Ryding J, Pryds O, Greisen G. Changes in cerebral oxygenation and cerebral blood volume during endotracheal suctioning in ventilated neonates. *Acta Paediatr.* 1992;81(5):389–393
44. AARC clinical practice guideline. Endotracheal suctioning of mechanically ventilated adults and children with artificial airways. American Association for Respiratory Care. *Respir Care.* 1993;38(5):500–504
45. Zhang R, Zuckerman JH, Pawelczyk JA, Levine BD. Effects of head-down-tilt bed rest on cerebral hemodynamics during orthostatic stress. *J Appl Physiol.* 1997;83(6):2139–2145
46. Lagercrantz H, Edwards D, Henderson-Smart D, Hertzberg T, Jeffery H. Autonomic reflexes in preterm infants. *Acta Paediatr Scand.* 1990;79(8–9):721–728
47. Harrison D, Evans C, Johnston L, Loughnan P. Bedside assessment of heel lance pain in the hospitalized infant. *J Obstet Gynecol Neonatal Nurs.* 2002;31(5):551–557
48. Stevens B, McGrath P, Gibbins S, et al. Determining behavioural and physiological responses to pain in infants at risk for neurological impairment. *Pain.* 2007;127(1–2):94–102
49. Gibbins S, Stevens B, Beyene J, Chan PC, Bagg M, Asztalos E. Pain behaviours in extremely low gestational age infants. *Early Hum Dev.* 2008;84(7):451–458
50. Gibbins S, Stevens B, McGrath PJ, et al. Comparison of pain responses in infants of different gestational ages. *Neonatology.* 2008;93(1):10–18
51. Grunau RE, Whitfield MF, Fay T, Holsti L, Oberlander T, Rogers ML. Biobehavioural reactivity to pain in preterm infants: a marker of neuromotor development. *Dev Med Child Neurol.* 2006;48(6):471–476
52. Slater R, Cantarella A, Gallella S, et al. Cortical pain responses in human infants. *J Neurosci.* 2006;26(14):3662–3666
53. Garnier Y, Coumans AB, Jensen A, Hasaart TH, Berger R. Infection-related perinatal brain injury: the pathogenic role of impaired fetal cardiovascular control. *J Soc Gynecol Investig.* 2003;10(8):450–459
54. Yanowitz TD, Potter DM, Bowen A, Baker RW, Roberts JM. Variability in cerebral oxygen delivery is reduced in premature neonates exposed to chorioamnionitis. *Pediatr Res.* 2006;59(2):299–304
55. Rassias AJ, Holzberger PT, Givan AL, Fahrner SL, Yeager MP. Decreased physiologic variability as a generalized response to human endotoxemia. *Crit Care Med.* 2005;33(3):512–519
56. Demarini S, Dollberg S, Hoath SB, Ho M, Donovan EF. Effects of antenatal corticosteroids on blood pressure in very low birth weight infants during the first 24 hours of life. *J Perinatol.* 1999;19(6 pt 1):419–425
57. Moïse AA, Wearden ME, Kozinetz CA, Gest AL, Welty SE, Hansen TN. Antenatal steroids are associated with less need for blood pressure support in extremely premature infants. *Pediatrics.* 1995;95(6):845–850
58. Stein HM, Oyama K, Martinez A, et al. Effects of corticosteroids in preterm sheep on adaptation and sympathoadrenal mechanisms at birth. *Am J Physiol.* 1993;264(5 pt 1):E763–E769
59. Segar JL, Bedell KA, Smith OJ. Glucocorticoid modulation of cardiovascular and autonomic function in preterm lambs: role of ANG II. *Am J Physiol Regul Integr Comp Physiol.* 2001;280(3):R646–R654
60. Segar JL, Roghair RD, Segar EM, Bailey MC, Scholz TD, Lamb FS. Early gestation dexamethasone alters baroreflex and vascular responses in newborn lambs before hypertension. *Am J Physiol Regul Integr Comp Physiol.* 2006;291(2):R481–R488



**Cerebral Hemodynamic Changes During Intensive Care of Preterm Infants**  
Catherine Limperopoulos, Kimberlee K. Gauvreau, Heather O'Leary, Marianne Moore, Haim Bassan, Eric C. Eichenwald, Janet S. Soul, Steven A. Ringer, Donald N. Di Salvo and Adré J. du Plessis  
*Pediatrics* 2008;122:e1006

DOI: 10.1542/peds.2008-0768 originally published online October 17, 2008;

<b>Updated Information &amp; Services</b>	including high resolution figures, can be found at: <a href="http://pediatrics.aappublications.org/content/122/5/e1006">http://pediatrics.aappublications.org/content/122/5/e1006</a>
<b>References</b>	This article cites 59 articles, 16 of which you can access for free at: <a href="http://pediatrics.aappublications.org/content/122/5/e1006#BIBL">http://pediatrics.aappublications.org/content/122/5/e1006#BIBL</a>
<b>Subspecialty Collections</b>	This article, along with others on similar topics, appears in the following collection(s): <b>Critical Care</b> <a href="http://www.aappublications.org/cgi/collection/critical_care_sub">http://www.aappublications.org/cgi/collection/critical_care_sub</a> <b>Fetus/Newborn Infant</b> <a href="http://www.aappublications.org/cgi/collection/fetus:newborn_infant_sub">http://www.aappublications.org/cgi/collection/fetus:newborn_infant_sub</a>
<b>Permissions &amp; Licensing</b>	Information about reproducing this article in parts (figures, tables) or in its entirety can be found online at: <a href="http://www.aappublications.org/site/misc/Permissions.xhtml">http://www.aappublications.org/site/misc/Permissions.xhtml</a>
<b>Reprints</b>	Information about ordering reprints can be found online: <a href="http://www.aappublications.org/site/misc/reprints.xhtml">http://www.aappublications.org/site/misc/reprints.xhtml</a>

American Academy of Pediatrics

DEDICATED TO THE HEALTH OF ALL CHILDREN™



# PEDIATRICS®

OFFICIAL JOURNAL OF THE AMERICAN ACADEMY OF PEDIATRICS

## **Cerebral Hemodynamic Changes During Intensive Care of Preterm Infants**

Catherine Limperopoulos, Kimberlee K. Gauvreau, Heather O'Leary, Marianne Moore, Haim Bassan, Eric C. Eichenwald, Janet S. Soul, Steven A. Ringer, Donald N. Di Salvo and Adré J. du Plessis

*Pediatrics* 2008;122:e1006

DOI: 10.1542/peds.2008-0768 originally published online October 17, 2008;

The online version of this article, along with updated information and services, is located on the World Wide Web at:

<http://pediatrics.aappublications.org/content/122/5/e1006>

Pediatrics is the official journal of the American Academy of Pediatrics. A monthly publication, it has been published continuously since 1948. Pediatrics is owned, published, and trademarked by the American Academy of Pediatrics, 141 Northwest Point Boulevard, Elk Grove Village, Illinois, 60007. Copyright © 2008 by the American Academy of Pediatrics. All rights reserved. Print ISSN: 1073-0397.

American Academy of Pediatrics

DEDICATED TO THE HEALTH OF ALL CHILDREN™

